

Process Control Frequency Response

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Spring Semester 2023
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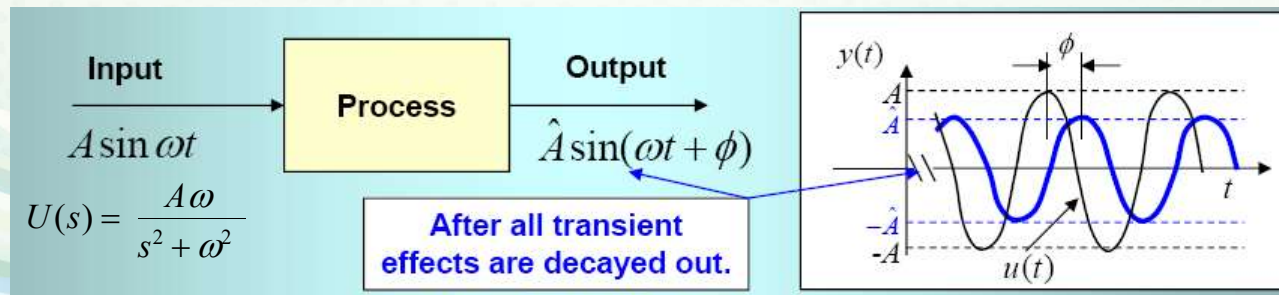
Introduction

- *Frequency response concepts and techniques play an important role in*
 1. *Stability analysis*
 2. *Control system design*
 3. *Robustness assessment*

Frequency Response

Definition of frequency response

- For a linear system: the ultimate output response of a process for a sinusoidal input of certain frequency will show amplitude change and phase shift at the same frequency depending on the process characteristics.



- Amplitude ratio (AR): attenuation of amplitude, $AR = \frac{\hat{A}}{A}$
- Phase angle (ϕ): phase shift compared to input
- These two quantities are function of frequency.

Definition of frequency response

- Input: $u(t) = A \sin(\omega t)$

$$L(u(t)) \rightarrow U(s) = \frac{A\omega}{s^2 + \omega^2}$$

- Ultimate Output:

$$Y(s) = G(s) \frac{A\omega}{s^2 + \omega^2} \rightarrow y(t) = L^{-1}[Y(s)]$$

Ultimate Output (frequency response):

$$y(t \rightarrow \infty) = \hat{A} \sin(\omega t + \phi)$$

- $AR = \hat{A} / A$ is the normalized amplitude ratio
- ϕ is the phase angle (PA) or response angle (RA)
- AR and ϕ are functions of ω .

$$\begin{aligned} y_{\infty}(t) &= \lim_{t \rightarrow \infty} \frac{KA}{\omega^2 \tau^2 + 1} (\cancel{\omega \tau} e^{-t/\tau} - \omega \tau \cos \omega t + \sin \omega t) \\ &= \frac{KA}{\omega^2 \tau^2 + 1} (-\omega \tau \cos \omega t + \sin \omega t) \\ &= \underbrace{\left(\frac{KA}{\sqrt{\omega^2 \tau^2 + 1}} \right)}_{\text{Amplitude}} \sin(\omega t + \underbrace{\phi}_{\text{Phase angle}}) \quad (\phi = -\tan^{-1} \omega \tau) \end{aligned}$$

Getting frequency response

- Without calculating transient response $y(t)$, the frequency response can be obtained directly as follows:
- ✓ For a given transfer function $G(s)$ let:

$$s = j\omega$$

$$G(j\omega) = K_1 + K_2j$$

$$j = \sqrt{-1}$$

$$|G| = AR = \sqrt{K_1^2 + K_2^2}$$

$$\phi = \angle G = \arctan \frac{K_2}{K_1}$$

Note that unstable transfer function (TF) does not have a frequency response because a sinusoidal input produces an unstable output response.

Getting frequency response

- For transfer function of the form:

$$G = G_1 \cdot G_2 \cdot G_3$$

$$|G| = |G_1| \cdot |G_2| \cdot |G_3|$$

$$\log|G| = \log|G_1| + \log|G_2| + \log|G_3|$$

$$\angle G = \angle G_1 + \angle G_2 + \angle G_3$$

- For transfer function of the form:

$$G = \frac{G_1}{G_2}$$

$$|G| = \frac{|G_1|}{|G_2|}$$

$$\log|G| = \log|G_1| - \log|G_2|$$

$$\angle G = \angle G_1 - \angle G_2$$

Getting frequency response

- In general for the transfer function of the form:

$$G(s) = \frac{G_a(s)G_b(s)G_c(s) \dots \dots \dots}{G_1(s)G_2(s)G_3(s) \dots \dots \dots}$$

$$G(j\omega) = \frac{G_a(j\omega)G_b(j\omega)G_c(j\omega) \dots \dots \dots}{G_1(j\omega)G_2(j\omega)G_3(j\omega) \dots \dots \dots}$$

$$|G(j\omega)| = \frac{|G_a(j\omega)||G_b(j\omega)||G_c(j\omega)| \dots \dots \dots}{|G_1(j\omega)||G_2(j\omega)||G_3(j\omega)| \dots \dots \dots}$$

$$\angle G(j\omega) = \angle G_a(j\omega) + \angle G_b(j\omega) + \angle G_c(j\omega) \dots \dots \dots - \angle G_1(j\omega) - \angle G_2(j\omega) - \angle G_3(j\omega) \dots \dots \dots$$

Example:

First order transfer function: $G(s) = \frac{1}{\tau s + 1}$

$$G(j\omega) = \frac{1}{1 + \tau j\omega} \cdot \frac{1 - \tau j\omega}{1 - \tau j\omega}$$

$$G(j\omega) = \frac{1}{1 + \omega^2 \tau^2} - \frac{\tau \omega}{1 + \omega^2 \tau^2} j$$

$$|G| = AR = \sqrt{K_1^2 + K_2^2} \rightarrow |G| = \frac{1}{\sqrt{1 + \omega^2 \tau^2}}$$

$$\phi = \angle G = \arctan \frac{K_2}{K_1} \rightarrow \phi = -\arctan(\omega \tau)$$

$$\text{as } \omega \rightarrow \infty, \phi \rightarrow -90^\circ$$

Bode diagram

Bode plots show the frequency response, that is, the changes in magnitude and phase as a function of frequency.

- *Bode diagram is a plot of:*
 - $\log AR$ vs. $\log(\omega)$ or $\log(\tau\omega) \rightarrow \log\text{-}\log \text{ plot}$
 - ϕ vs. $\log \omega$ or $\log(\tau\omega) \rightarrow \text{semi-log plot}$
- *Bode diagram is useful to*
 - ✓ *Illustrate frequency response characteristics.*
 - ✓ *Design and analyze the stability of the closed-loop system.*

Example 1

Draw Bode diagram for first-order TF

$$G(s) = \frac{K}{\tau s + 1}$$

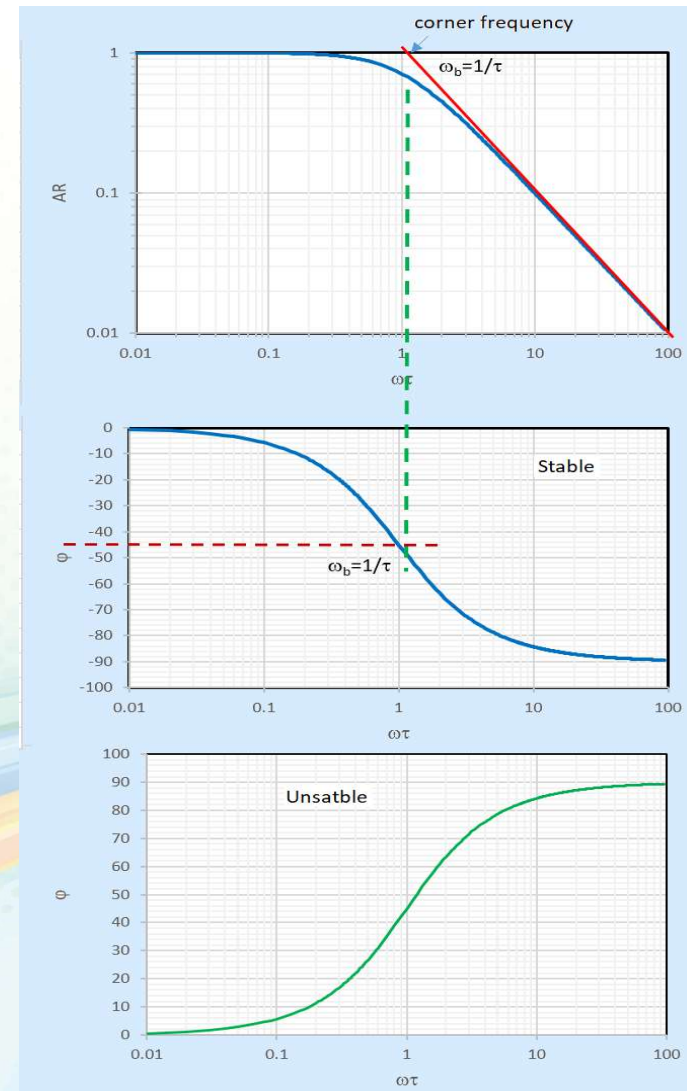
$$|G| = AR = \frac{K}{\sqrt{1 + \omega^2 \tau^2}}$$

The normalized amplitude ratio AR_N is:

$$AR_N = \frac{AR}{K} = \frac{1}{\sqrt{1 + \omega^2 \tau^2}}$$

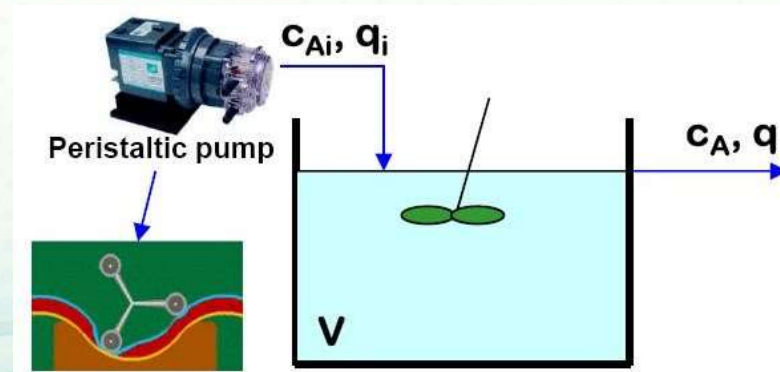
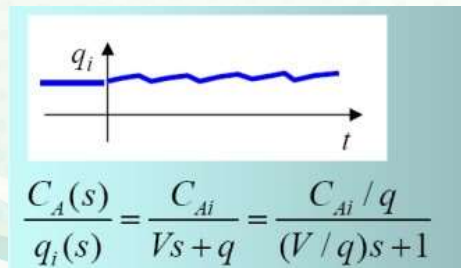
$$\phi = -\arctan(\omega\tau)$$

as $\omega \rightarrow \infty, \phi \rightarrow -90^\circ$



Example 2

If a feed is pumped by 3 blades peristaltic pump to a CSTR. The rpm of the peristaltic pump is 10 rpm. $V = 50 \text{ cm}^3$, the time-averaged feed flow rate is $94 \text{ cm}^3/\text{min}$. Will $\pm 5\%$ fluctuation in the feed flow appear in the output?



- Process average-time constant: $\tau = \frac{V}{q} = \frac{50}{94} = 0.53 \text{ min}$
- Input frequency; $\omega = 2\pi P = 2\pi \times \text{rpm} \times 3 \leftarrow 3 \text{ blades} = 188.6 \text{ rad/min}$
 $\omega\tau = 100 \text{ rad}$

▪ From first-order transfer function Bode diagram at $\omega\tau = 100 \text{ rad}$:

▪ $AR_N(\text{normalized amplitude ratio}) = 0.01$; $\phi = -90^\circ = -\frac{\pi}{2}$

$$u(t) = q_i - \bar{q}_i + A \sin(188.6 t) \rightarrow U(t) = A \sin(188.6 t)$$

$$C_A(t \rightarrow \infty) = \hat{A} \sin\left(188.6 t - \frac{\pi}{2}\right); \hat{A} = (AR)A$$

$$c_A(t \rightarrow \infty) = \bar{c}_A + \hat{A} \sin\left(188.6 t - \frac{\pi}{2}\right)$$

▪ For fluctuation in q_i of $U(t) = \pm 5\%$ of nominal flow rate, the fluctuation in the output concentration will be about

$$C_A = AR \times U(t) = \pm 5\% \times 0.01 = \pm 0.05\%$$

which is almost *unnoticeable*.

Example 3:

Bode diagram of unstable pole TF:

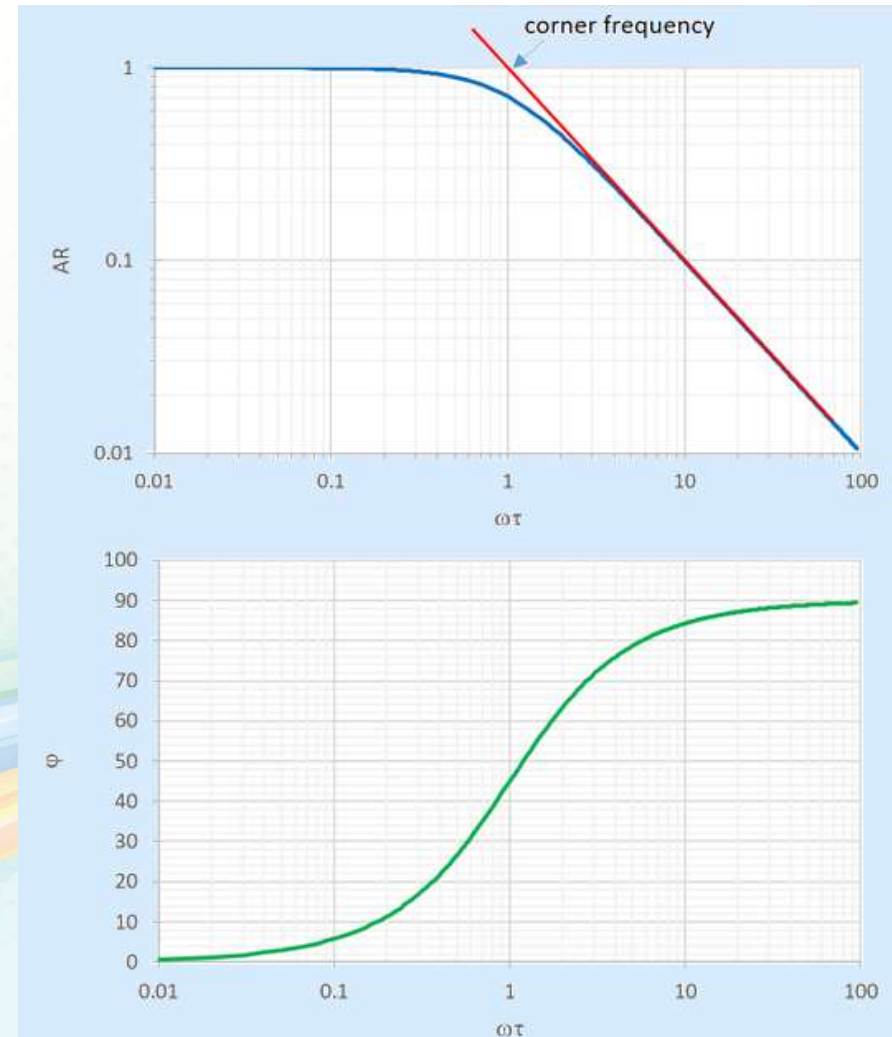
$$G(s) = \frac{1}{-\tau s + 1}$$

$$G(j\omega) = \frac{1}{1 - j\tau\omega} = \frac{1}{1 + \tau^2\omega^2} (1 + j\tau\omega)$$

$$AR = |G(j\omega)| = \frac{1}{\sqrt{1 + \tau^2\omega^2}}$$

$$\phi = \angle G(j\omega) = \tan^{-1} \frac{\text{Im}(G(j\omega))}{\text{Re}(G(j\omega))} = \tan^{-1}(\omega\tau)$$

- Note that for this unstable process, the phase angle is positive.
- The physical interpretation of frequency response is not valid for unstable systems, because a sinusoidal input produces an unbounded output response instead of a sinusoidal response.



Example 4:

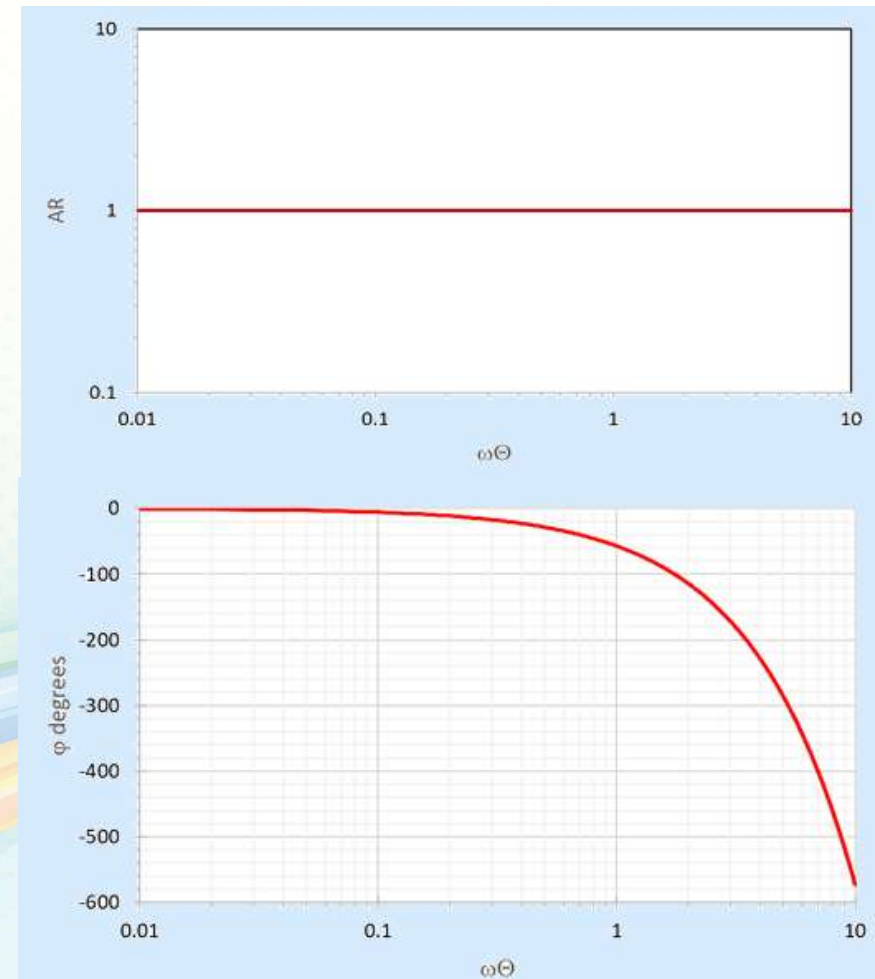
Bode diagram of pure time delay TF:

$$G(s) = e^{-\theta}$$

$$G(j\omega) = e^{-j\theta\omega} = \cos(\theta\omega) - j\sin(\theta\omega)$$

$$AR = |G(j\omega)| = 1$$

$$\phi = \angle G(j\omega) = \tan^{-1} \frac{\sin(\theta\omega)}{\cos(\theta\omega)} = -\theta\omega$$



Example 5:

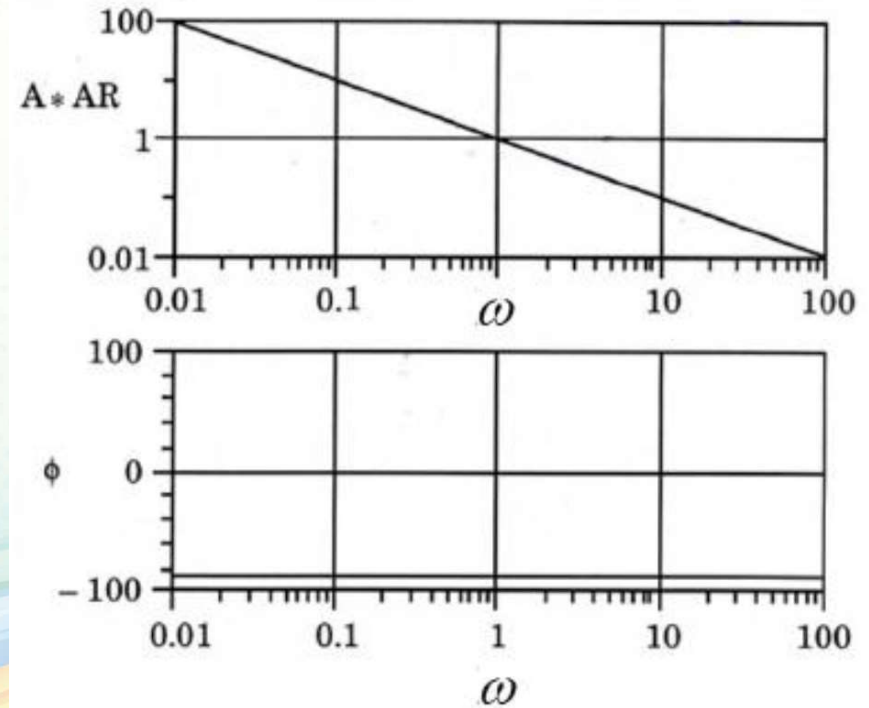
Bode diagram of integrating TF:

$$G(s) = \frac{1}{As}$$

$$G(j\omega) = \frac{1}{jA\omega} = -\frac{1}{A\omega}j$$

$$AR = |G(j\omega)| = \frac{1}{A\omega}$$

$$\phi = \angle G(j\omega) = \tan^{-1}\left(-\frac{1}{0.\omega}\right) = -\frac{\pi}{2}$$



Example 6:

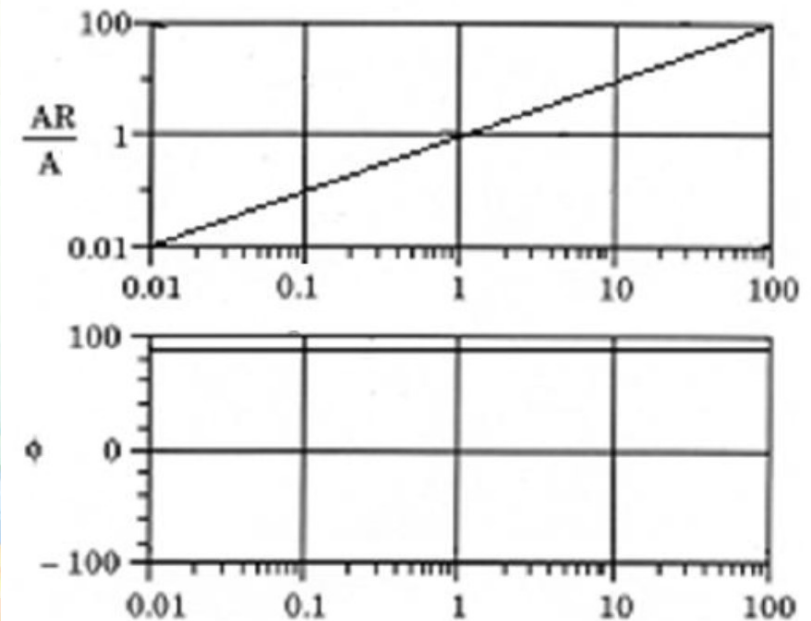
Bode diagram of differentiator TF:

$$G(s) = As$$

$$G(j\omega) = jA\omega$$

$$AR = |G(j\omega)| = A\omega$$

$$\phi = \angle G(j\omega) = \tan^{-1}\left(\frac{1}{0.\omega}\right) = \frac{\pi}{2}$$



Example 7:

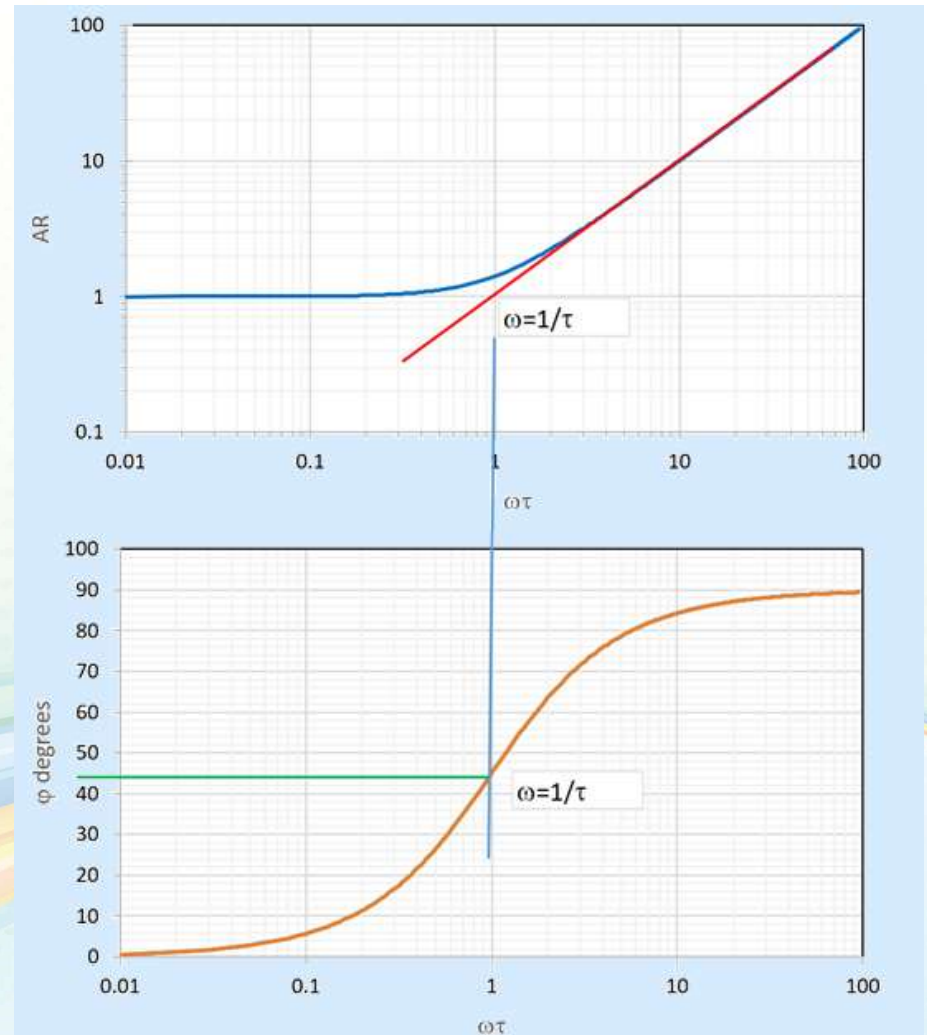
Draw Bode diagram for zero lead (lag) TF:

$$G(s) = \tau s + 1$$

$$G(j\omega) = 1 + j\omega\tau$$

$$AR = |G(j\omega)| = \sqrt{1 + \omega^2\tau^2}$$

$$\phi = \angle G(j\omega) = \tan^{-1}(\omega\tau)$$



Example 8:

Draw Bode diagram for second-order TF:

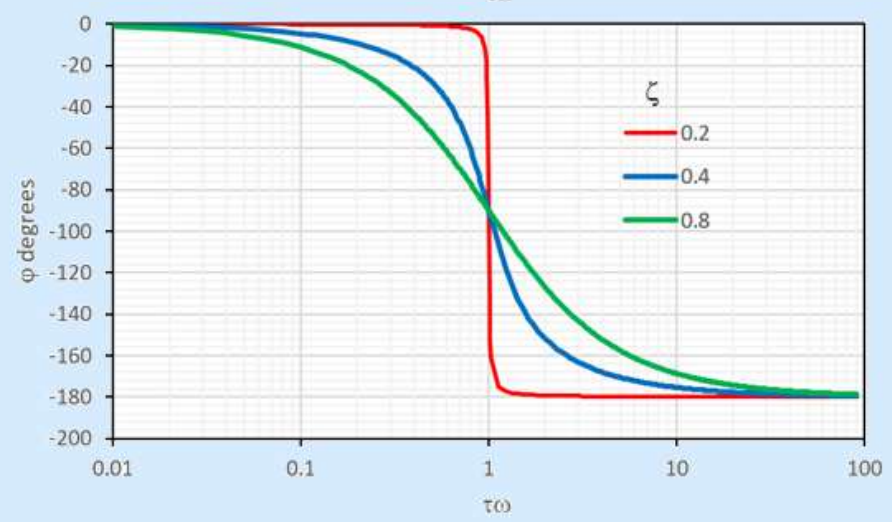
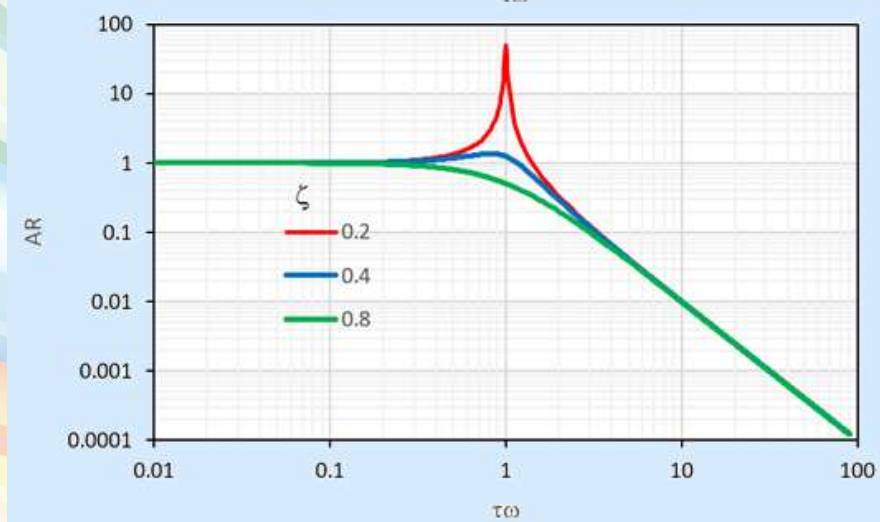
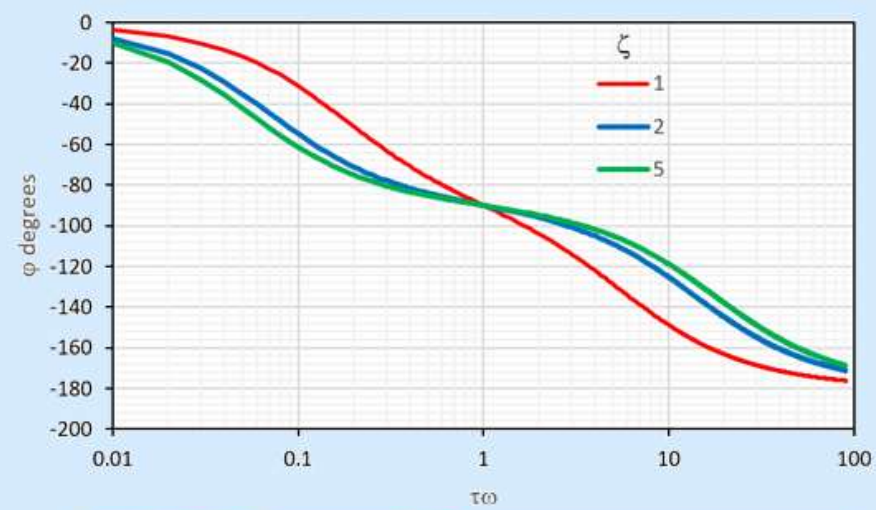
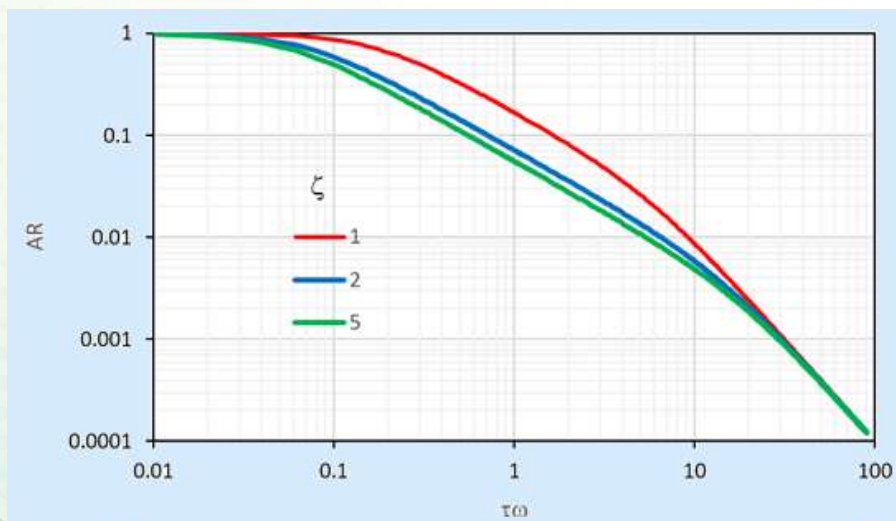
$$G(s) = \frac{K}{(\tau^2 s^2 + 2\xi\tau s + 1)}$$

$$G(j\omega) = \frac{K}{(1 - \tau^2\omega^2) + 2j\xi\tau\omega}$$

$$AR = |G(j\omega)| = \frac{K}{\sqrt{(1 - \tau^2\omega^2)^2 + (2\xi\tau\omega)^2}}$$

$$\phi = \angle G(j\omega) = \tan^{-1} \frac{\text{Im}(G(j\omega))}{\text{Re}(G(j\omega))}$$

$$= \tan^{-1} \frac{2\xi\tau\omega}{(1 - \tau^2\omega^2)}$$



Example 9:

Bode diagram of a process with TF:

$$G(s) = \frac{5(0.5s + 1)e^{-0.5s}}{(20s + 1)(4s + 1)}$$

$$G(s) = 5G_1(s)G_2(s)G_3(s)G_4(s)$$

$$G_1(s) = 0.5s + 1 \rightarrow |G_1| = \sqrt{1 + 0.25\omega^2}; \phi_1 = \tan^{-1}(0.25\omega) \rightarrow \text{Zero lead TF}$$

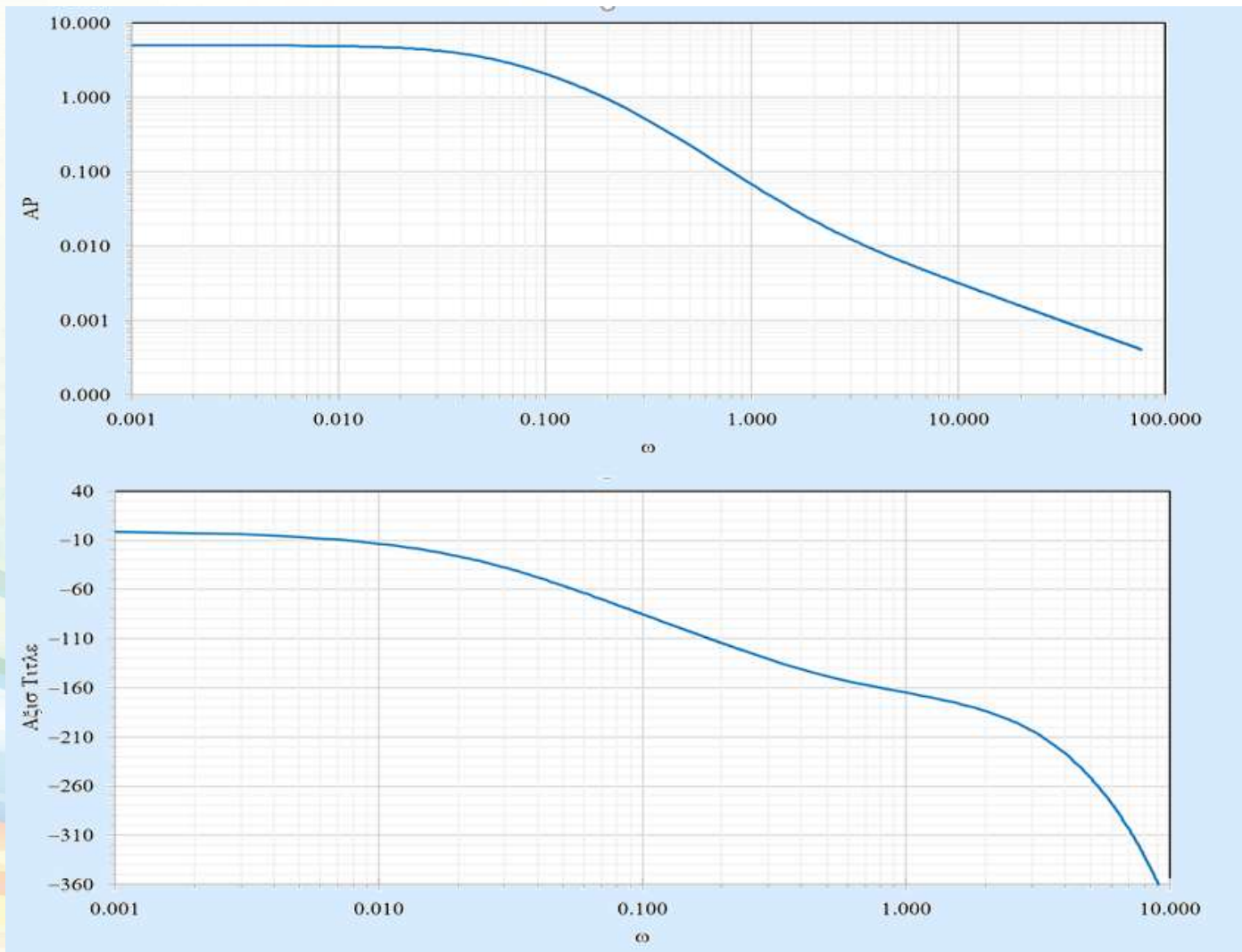
$$G_2(s) = e^{-0.5s} \rightarrow |G_2| = 1; \phi_2 = -0.5\omega \rightarrow \text{Pure delay TF}$$

$$G_3(s) = \frac{1}{20s+1} \rightarrow |G_3| = \frac{1}{\sqrt{1+400\omega^2}}; \phi_3 = -\tan^{-1}(20\omega) \rightarrow \text{1st - order TF}$$

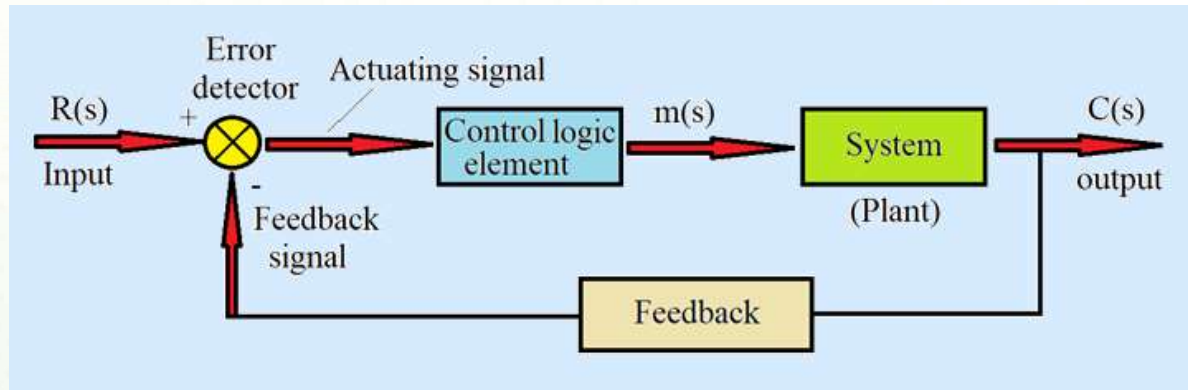
$$G_4(s) = \frac{1}{4s+1} \rightarrow |G_4| = \frac{1}{\sqrt{1+16\omega^2}}; \phi_4 = -\tan^{-1}(4\omega) \rightarrow \text{1st - order TF}$$

$$AR = |G_1||G_2||G_3||G_4| = 5 \sqrt{\frac{1 + 0.25\omega^2}{(1 + 400\omega^2)(1 + 16\omega^2)}}$$

$$\phi = \phi_1 + \phi_2 + \phi_3 + \phi_4 = \tan^{-1}(0.25\omega) - 0.5\omega - \tan^{-1}(20\omega) - \tan^{-1}(4\omega)$$



Controllers



1- Proportional, $G(s) = K_p$

2- Integral Controller, $G(s) = \frac{K_I}{s}$

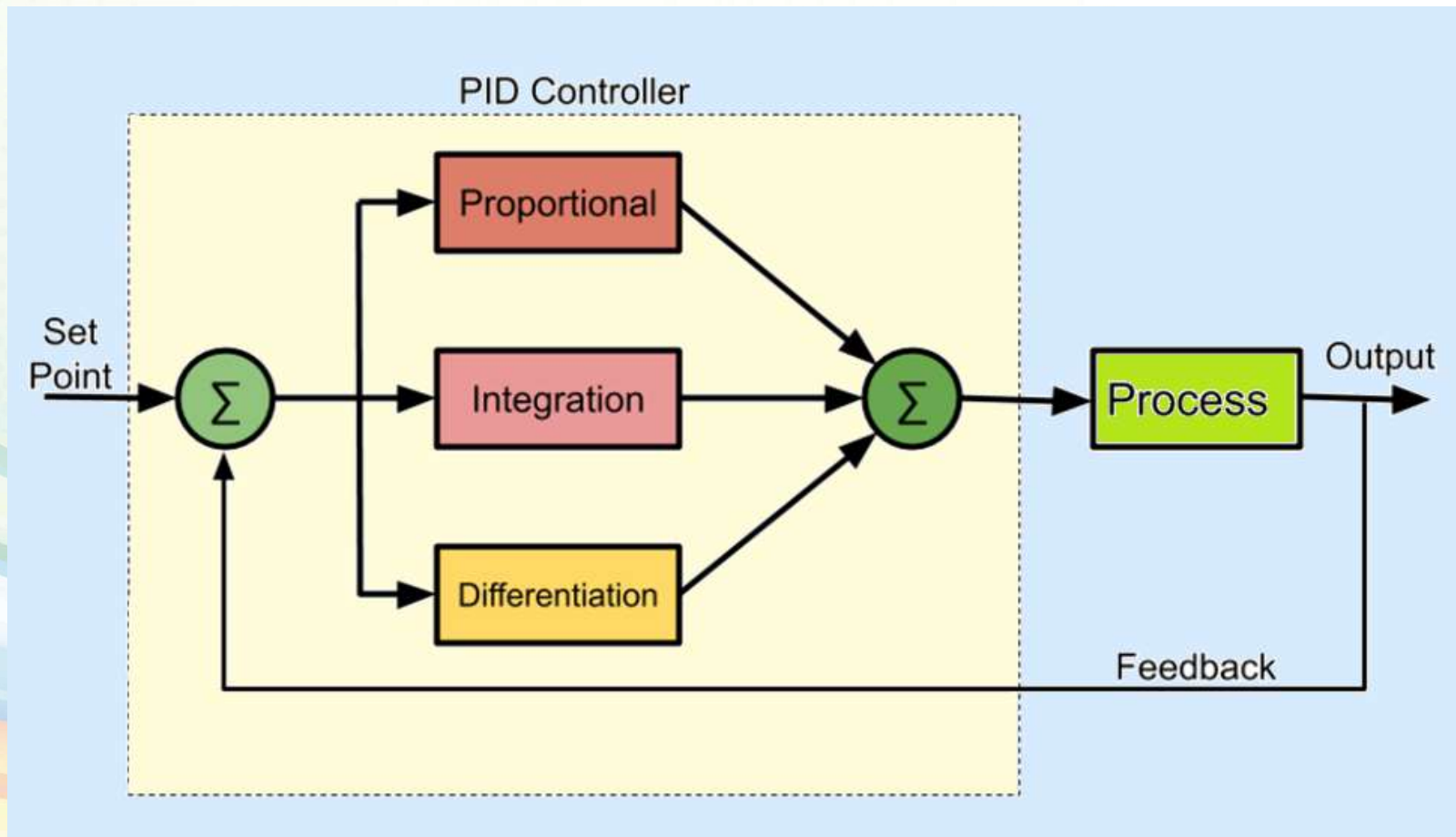
3- Proportional Integral, $G(s) = K_p + \frac{K_I}{s}$

4- Derivative Controller, $G(s) = K_D s$

5- Proportional derivative, $G(s) = K_p + K_D s$

6- Proportional Integral Derivative, $G(s) = K_p + \frac{K_I}{s} + K_D s$

Controllers



Frequency response characteristics of controllers

A. Proportional Controller

$$G_c = K_c \quad \therefore AR = |K_c|, \quad \phi = 0$$

B. PI Controller

$$G_c = K_c \left(1 + \frac{1}{\tau_I s} \right)$$

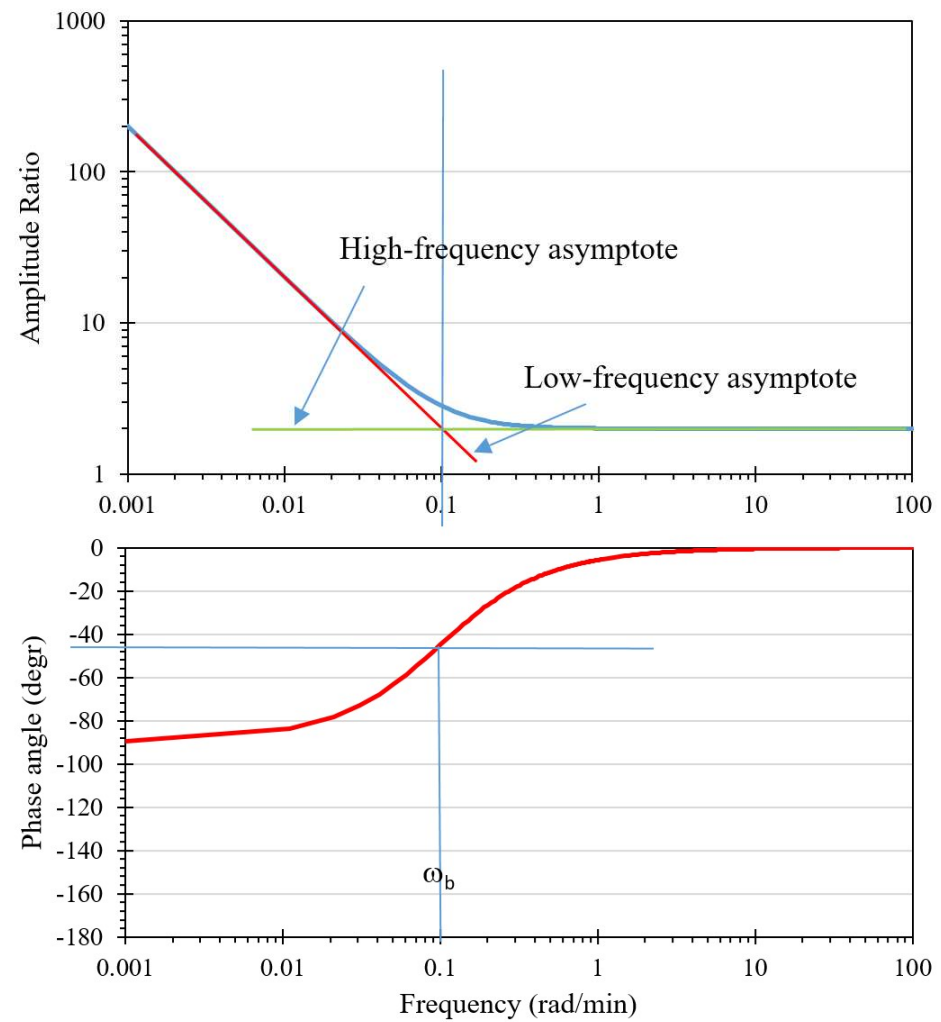
$$AR = K_c \sqrt{\frac{1}{\omega^2 \tau_I^2} + 1}$$

$$\phi = \tan^{-1} \left(-\frac{1}{\tau_I \omega} \right) = \tan^{-1}(\tau_I \omega) - 90^\circ$$

The Bode plot for a PI controller is shown in next slide.

Note: $\omega_b = \frac{1}{\tau_b}$

$$G_c(s) = 2 \left(1 + \frac{1}{10s} \right)$$



C. Ideal PD Controller: $G(s) = K_c(1 + \tau_D s)$

$$AR = K_c \sqrt{(\omega \tau_D)^2 + 1} \quad \phi = \tan^{-1}(\omega \tau_D)$$

D. PD Controller with filter: $G(s) = K_c \left(\frac{1 + \tau_D s}{1 + \alpha \tau_D s} \right)$

E. Ideal (Parallel) PID Controller: $G(s) = K_c \left(1 + \frac{1}{\tau_I s} + \tau_D s \right)$

F. Actual (Series) PID Controller without filter: $G(s) = K_c \left(\frac{1 + \tau_I s}{\tau_I s} \right) (\tau_D s + 1)$

G. Actual Series PID Controller (Series PID Controller) with filter:

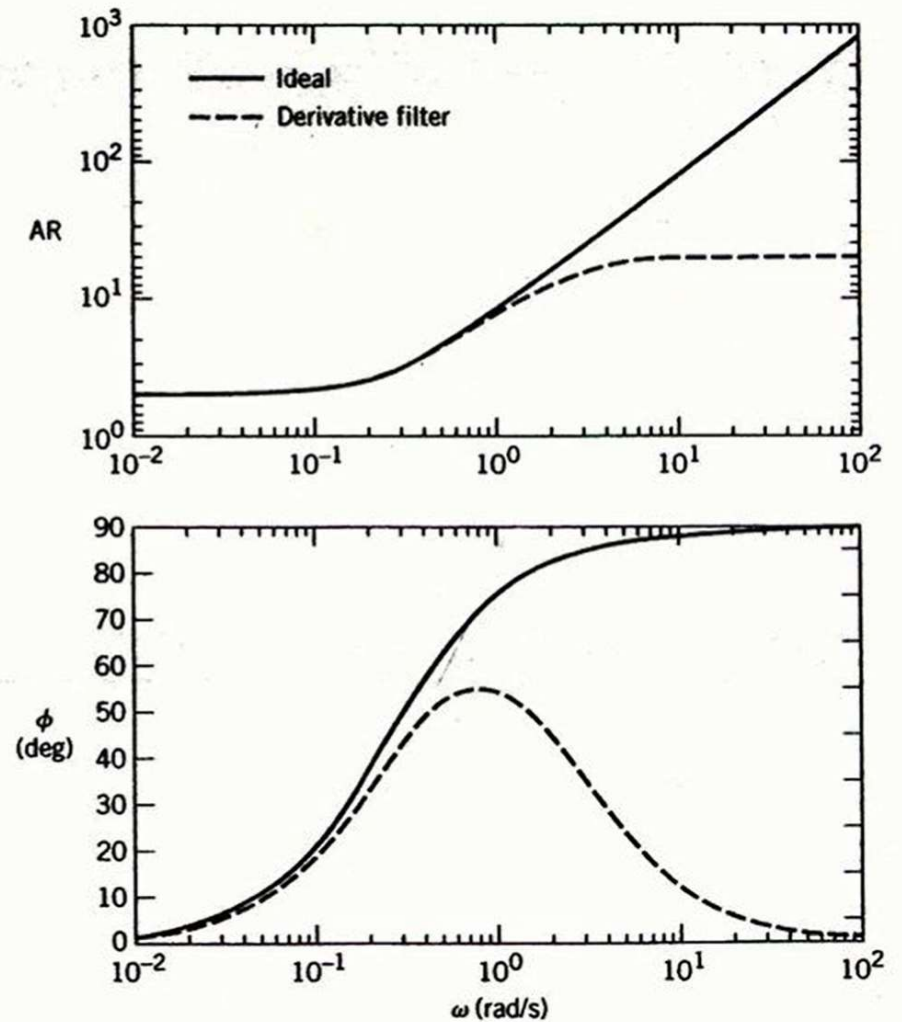
$$G(s) = K_c \left(\frac{1 + \tau_I s}{\tau_I s} \right) \left(\frac{\tau_D s + 1}{1 + \alpha \tau_D s} \right)$$

Bode plots of an ideal PD controller and a PD controller with derivative filter

Ideal: $G_c(s) = 2(4s + 1)$

With Derivative Filter:

$$G_c(s) = 2 \left(\frac{4s + 1}{0.4s + 1} \right)$$

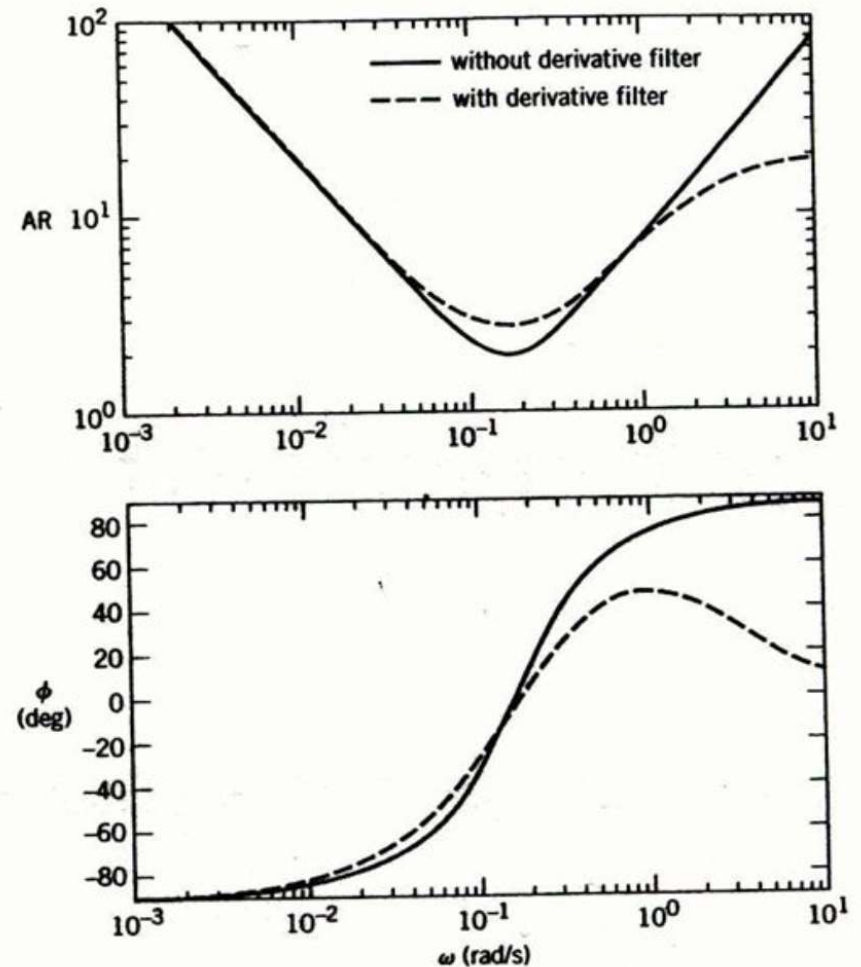


Bode plots of an ideal parallel PID controller and series PID controller with derivative filter ($\alpha=1$)

Ideal parallel: $G_c(s) = 2 \left(1 + \frac{1}{10s} + 4s \right)$

Series with Derivative Filter:

$$G_c(s) = 2 \left(\frac{10s + 1}{10s} \right) \left(\frac{4s + 1}{0.4s + 1} \right)$$

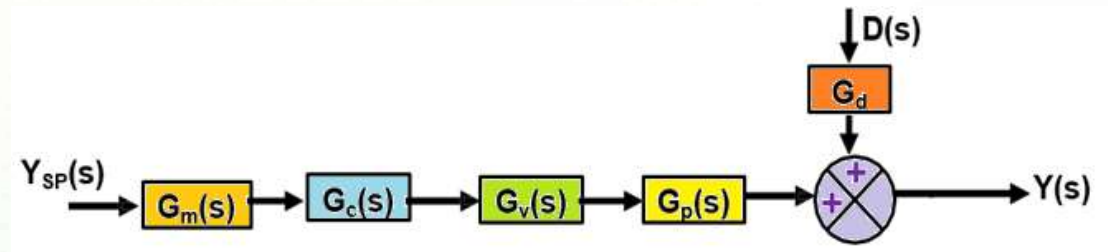


Open and Closed Control System

Types of control systems

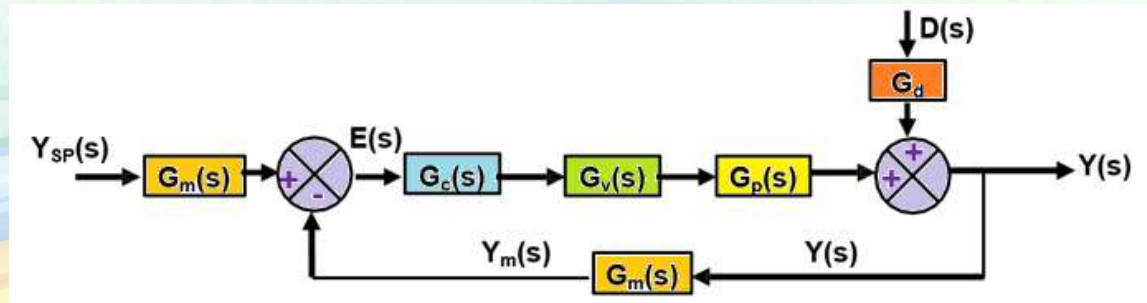
1- Open loop control system (non feedback system)

- Output is directly controlled by input.
- Does not have feedback system.



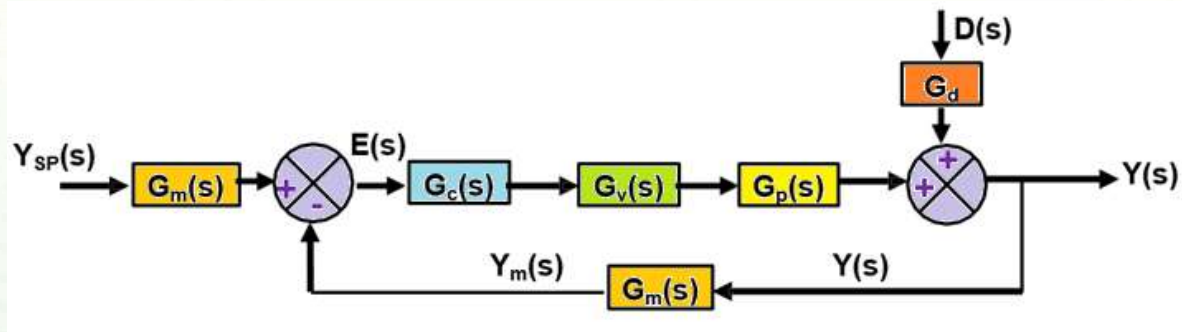
2- Closed loop control system

- Output has an effect on the control action of the input.
- Output is feedback to the input (feedback system)



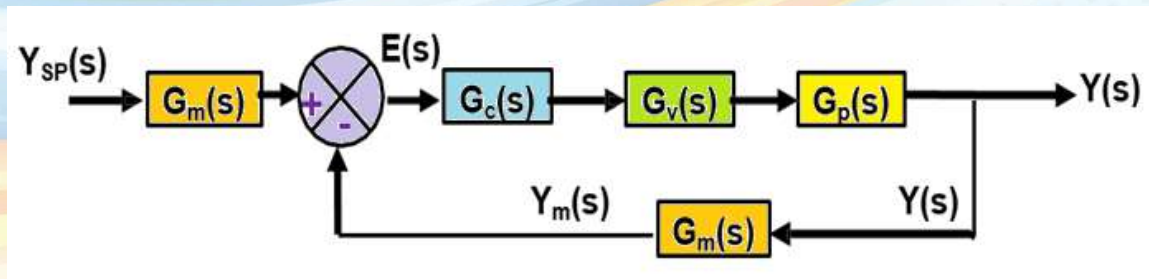
Regulator problem:

Manipulate the system input to counteract the effects of disturbances.

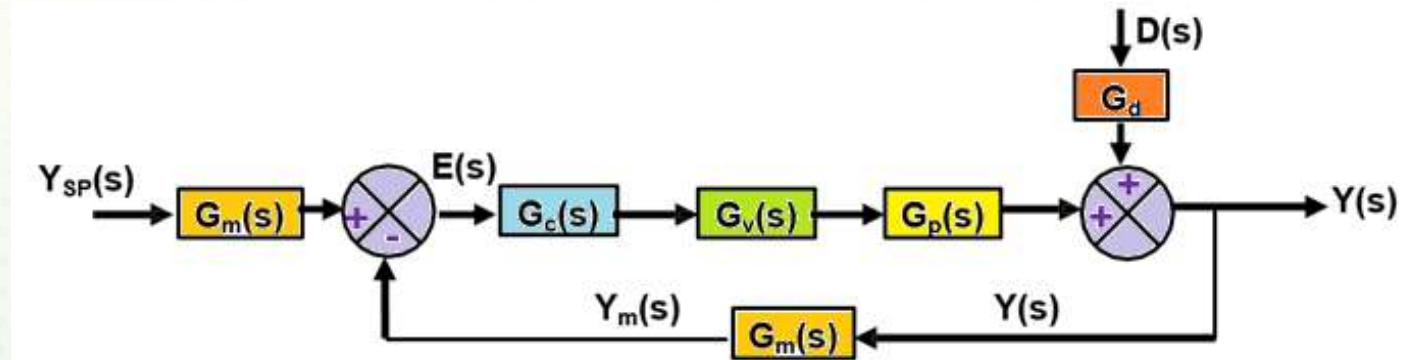


Servo Problem (i.e. tracking problem):

Manipulate the system input to keep the output close to a given reference trajectory



Stability of closed-loop frequency response (FR)



$$\frac{Y(s)}{Y_{SP}(s)} = \frac{G_{OL}}{1 + G_{OL}} \quad \frac{Y(s)}{D(s)} = \frac{G_d}{1 + G_{OL}}$$

$$G_{OL} = G_m G_c G_v G_p$$

Characteristic equation:

$$1 + G_{OL} = 0$$

$$Y_{SP}(s) = \frac{A\omega}{s^2 + \omega^2} \text{ "Servo"}$$

or

$$D(s) = \frac{A\omega}{s^2 + \omega^2} \text{ "Regulatory"}$$

Stability of closed-loop frequency response

- *Stability margins:* as mentioned early the roots of closed-loop characteristic equation must be negative:

$$\text{Characteristic equation: } 1 + G_{OL} = 0$$

- Thus, the margin values for stability of closed-loop system is determined from : $G_{OL}(s) = -1 + 0j$
- This means that the stability margin value for amplitude ratio of the open-loop TF G_{OL} must be:

$$(AR_{OL})_{\text{margin}} = |G_{OL}(s)| = 1$$

and the corresponding stability margin value of phase angle of G_{OL} is:

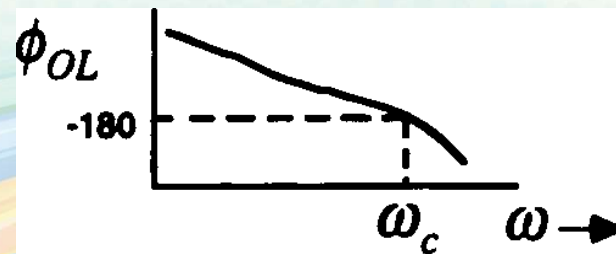
$$(\phi_{OL})_{\text{margin}} = \tan^{-1} \left(\frac{0}{-1} \right) = -\pi$$

Bode stability criterion: A closed-loop frequency response (FR) is unstable if the G_{OL} has an amplitude ratio, AR_{OL} , greater than one at the critical frequency (ω_c). Otherwise the closed-loop system is stable:

$$AR_{OL}|_{\omega_c} < (AR_{OL})_{margin} = 1$$

$$\therefore \text{If } AR_{OL}|_{\omega_c} < 1 \rightarrow \text{"stable FR"}$$

Where the critical frequency (ω_c) is the value of ω where the open-loop phase angle is $\phi_{OL} = -\pi$



- Bode stability criterion provides info on closed-loop stability from open-loop frequency response information.

Example:

A process has $G_p = \frac{2}{(0.5s+1)^3}$, $G_v = 0.1$, $G_m = 10$. All signals in the closed-loop control system are electrical and time is in minutes. A proportional controller is used, what is the ultimate controller gain, K_{cu} , below which the frequency response is stable?

$$G_{OL} = G_v G_m G_c G_p = (0.1)(10)(K_c) \frac{2}{(0.5s+1)^3} = \frac{2K_c}{(0.5s+1)^3}$$

$$G_{OL} = 2K_c G_1 G_2 G_3$$

$$\text{where } G_1 = G_2 = G_3 = \frac{1}{0.5s+1}$$

$$|G_1| = |G_2| = |G_3| = \frac{1}{\sqrt{1+\tau^2\omega^2}} = \frac{1}{\sqrt{1+0.25\omega^2}}$$

$$\phi_1 = \phi_2 = \phi_3 = -\tan^{-1}(\omega\tau) = -\tan^{-1}(0.5\omega)$$

$$G(j\omega) = \frac{1}{1-j\tau\omega} = \frac{1}{1+\tau^2\omega^2} (1+j\tau\omega)$$

$$AR = |G(j\omega)| = \frac{1}{\sqrt{1+\tau^2\omega^2}}$$

$$\phi = \angle G(j\omega) = \tan^{-1} \frac{\text{Im}(G(j\omega))}{\text{Re}(G(j\omega))} = \tan^{-1}(\omega\tau)$$

$$AR_{OL} = 2K_c |G_1| |G_2| |G_3| = 2K_c \left(\frac{1}{\sqrt{1 + 0.25\omega^2}} \right)^3 = 2K_c (1 + 0.25\omega^2)^{-1.5}$$

$$\phi_{OL} = \phi_1 + \phi_2 + \phi_3 = -3\tan^{-1}(0.5\omega)$$

- *Let us find the critical frequency, ω_c , at $\phi_{OL} = -\pi$*

$$-\pi = -3\tan^{-1}(0.5\omega_c) \rightarrow \frac{\pi}{3} = \tan^{-1}(0.5\omega_c) \Rightarrow \omega_c = 3.467 \text{ rad/min}$$

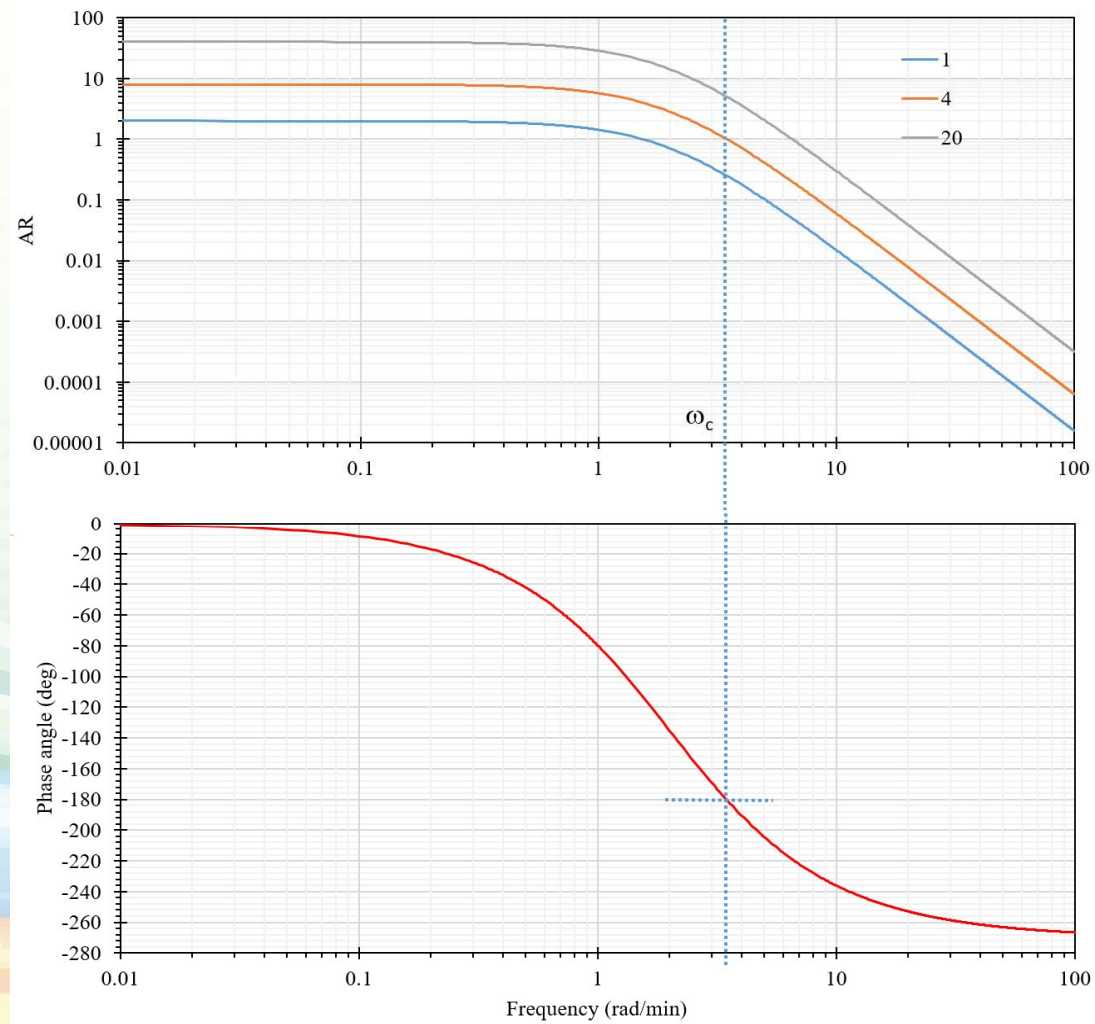
- *The open-loop amplitude ratio, AR_{OL} , at this critical frequency, is:*

$$AR_{OL|\omega_c} = 2K_c (1 + 0.25\omega_c^2)^{-1.5} = 0.25K_c$$

- *To achieve stable FR:*

$$AR_{OL|\omega_c} < 1 \Rightarrow 0.25K_c < 1$$

$$\therefore K_c < 4 \rightarrow K_{cu} = 4$$



Example:

A process has $G_p = \frac{4e^{-s}}{5s+1}$, $G_v = 2$, $G_m = 0.25$, $G_c = K_c$. All signals in the closed-loop control system are electrical and time is in minutes. Find the K_{cu} of P controller for stable frequency response. Find the corresponding ultimate period of oscillation.

$$G_{OL} = G_v G_m G_c G_p = (2)(0.25)(K_c) \frac{4e^{-s}}{5s+1} = \frac{2K_c e^{-s}}{0.5s+1}$$

$$\text{Let } G_{OL} = 2K_c G_1 G_2$$

where $G_1 = e^{-s} \rightarrow |G_1| = 1$; $\phi_1 = -\omega \rightarrow \text{Pure delay TF}$

$$G_2 = \frac{1}{5s+1} \rightarrow |G_2| = \frac{1}{\sqrt{1+25\omega^2}} ; \phi_2 = -\tan^{-1}(5\omega)$$

$$AR_{OL} = 2K_c |G_1| |G_2| = \frac{2K_c}{\sqrt{1 + 0.25\omega^2}}$$

$$\phi_{OL} = \phi_1 + \phi_2 = -\omega - \tan^{-1}(5\omega)$$

- Let us find the critical frequency, ω_c , at $\phi_{OL} = -\pi$
 $= -\omega - \tan^{-1}(5\omega)$
- Solve to obtain $\omega_c = 1.69 \text{ rad/min}$

$$AR_{OL|\omega_c} = 2K_c (1 + 0.25\omega_c^2)^{-1.5} = 0.25K_c$$

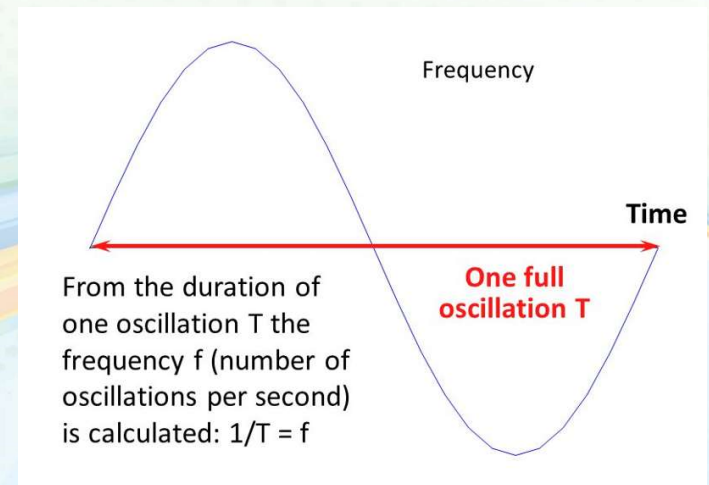
- To achieve stable FR:

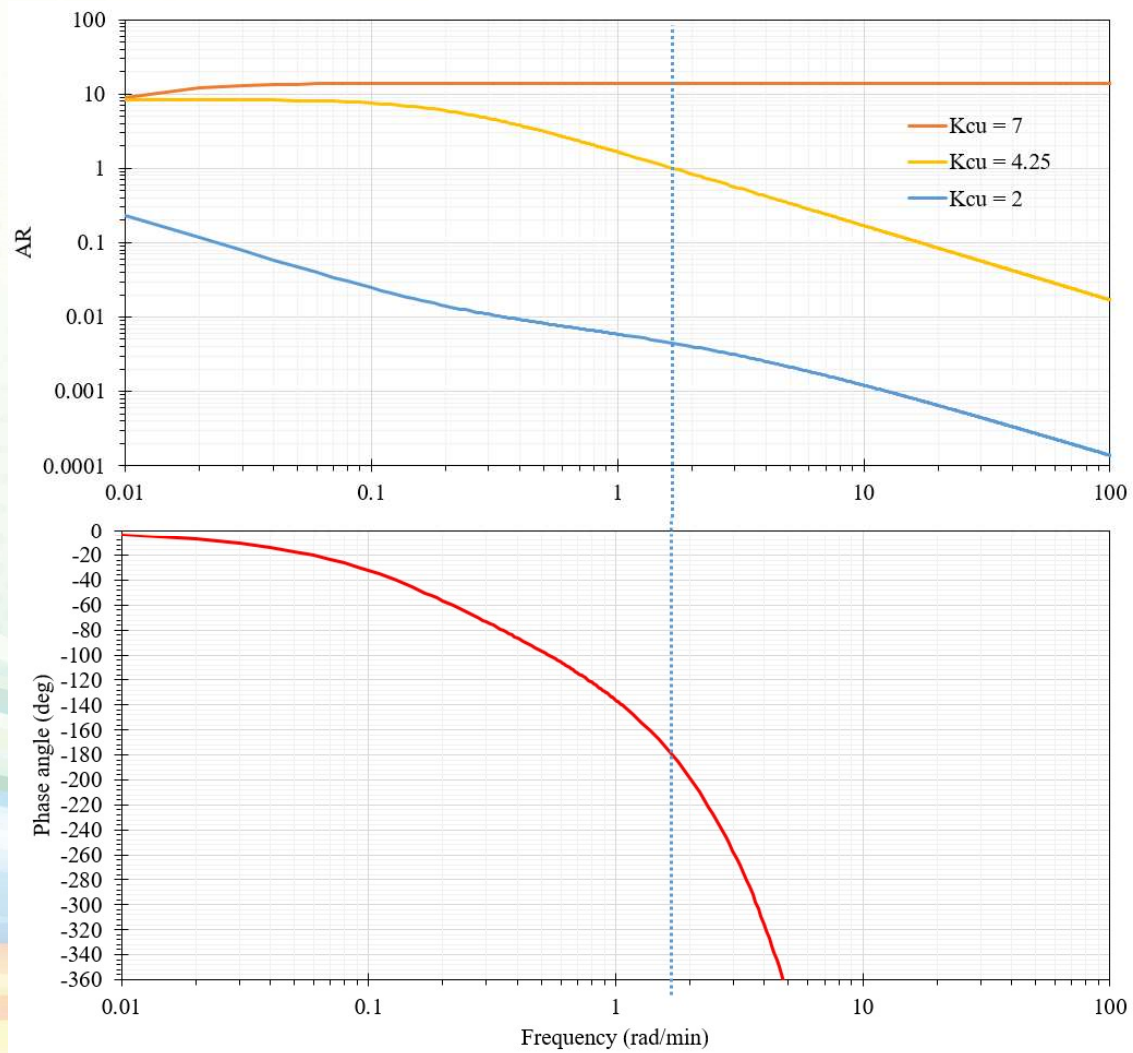
$$AR_{OL|\omega_c} = \frac{2K_c}{\sqrt{1 + 25\omega^2}} = \frac{2K_c}{\sqrt{1 + 25(1.69)^2}} = 0.235K_c$$

$$\therefore 0.235K_{cu} = 1 \rightarrow K_{cu} = 4.25$$

If $K_{cu} < 4.25$ (stable)

Ultimate period of oscillation: $P_{cu} = \frac{2\pi}{\omega_c} = \frac{2\pi}{1.69} = 3.72 \text{ min}$





Proportional Gain and Phase Margins

- *Gain Margin (GM): According to the Bode stability criterion,*

$$AR_{OL}|\omega_c < 1 \rightarrow GM = \frac{1}{AR_{OL}|\omega_c} > 1$$

- *Phase Margin(PM): Let ω_g is the frequency at which $AR_{OL} = 1.0$ and the corresponding phase angle is $\phi_{OL|g}$.*
- *According to the Bode stability criterion,*

$$\phi_{OL}|\omega_c = -180$$

When $\phi_{OL}|\omega_c > -180^\circ \rightarrow AR_{OL}|\omega_c < 1$

$$\phi_{OL}|\omega_g + 180^\circ > 180^\circ - 180^\circ \rightarrow \phi_{OL}|\omega_g + 180^\circ > 0$$

$\phi_{OL}|\omega_g + 180^\circ$ Phase Margin

Thus, for stability $PM > 0$ and $GM > 0$

Proportional Gain and Phase Margins

- *The greater the Gain Margin (GM), the greater the stability of the system.*
- *The gain margin refers to the amount of gain, which can be increased or decreased without making the system unstable. It is usually expressed as a magnitude in dB.*
- *The greater the Phase Margin (PM), the greater will be the stability of the system.*
- *The phase margin refers to the amount of phase, which can be increased or decreased without making the system unstable. It is usually expressed as a phase in degrees.*

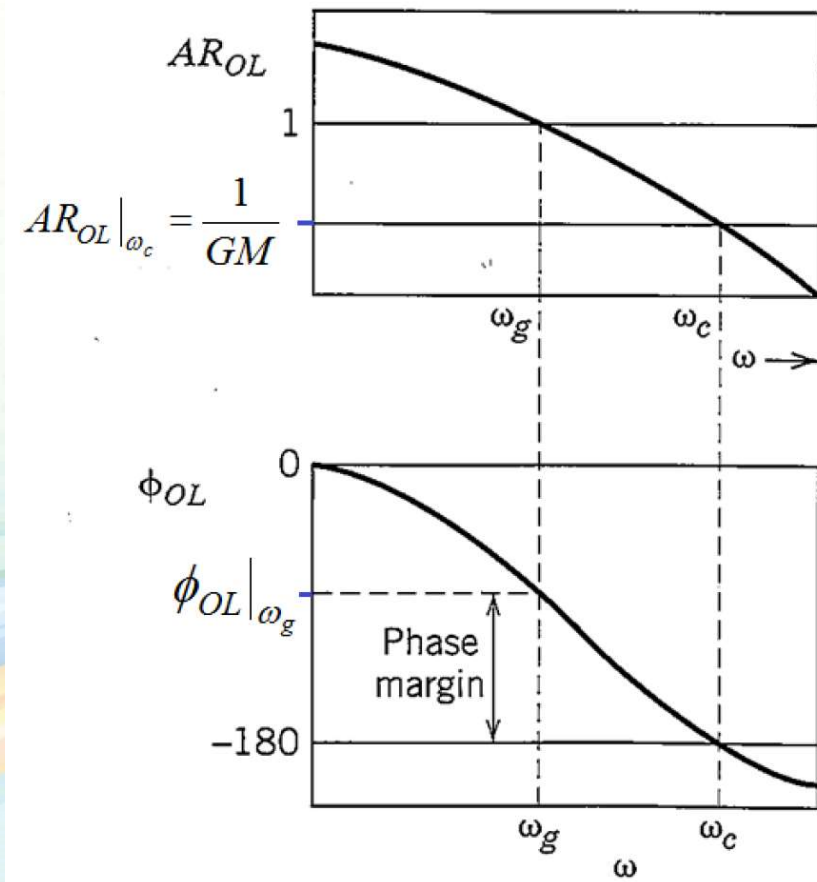
Proportional Gain and Phase Margins

Rules of thumb:

A well-designed FB control system will have:

$$1.7 \leq GM \leq 2.0$$

$$30^\circ \leq PM \leq 45^\circ$$



Nyquist stability criterion

- *The Nyquist stability criterion is similar to the Bode criterion in that it determines closed-loop stability from the open-loop frequency response characteristics.*
- *The Nyquist stability criterion is based on two concepts from complex variable theory, Contour Mapping and the Principle of the Argument.*
- *Nyquist Stability Criterion. Consider an open-loop transfer function $G_{OL}(s)$ that is proper and has no unstable pole-zero cancellations. Let N be the number of times that the Nyquist plot for $G_{OL}(s)$ encircles the -1 point in the clockwise direction. Also let P denote the number of poles of $G_{OL}(s)$ that lie to the right of the imaginary axis. Then, $Z = N + P$ where Z is the number of roots of the characteristic equation that lie to the right of the imaginary axis (that is, its number of “zeros”).*
- *The closed-loop system is stable if and only if $Z = 0$.*

Some important properties of the Nyquist stability criterion

- 1. It provides a necessary and sufficient condition for closed-loop stability based on the open-loop transfer function.*
- 2. The reason the -1 point is so important can be deduced from the characteristic equation, $1 + G_{OL}(s) = 0$. This equation can also be written as $G_{OL}(s) = -1$, which implies that $AR_{OL} = 1$ and, as noted earlier. The -1 point is referred to as the critical point.*
- 3. Most process control problems are open-loop stable. For these situations, $P = 0$ and thus $Z = N$. Consequently, the closed-loop system is unstable if the Nyquist plot for $G_{OL}(s)$ encircles the -1 point, one or more times.*
- 4. A negative value of N indicates that the -1 point is encircled in the opposite direction (counter-clockwise). This situation implies that each countercurrent encirclement can stabilize one unstable pole of the open-loop system o.*

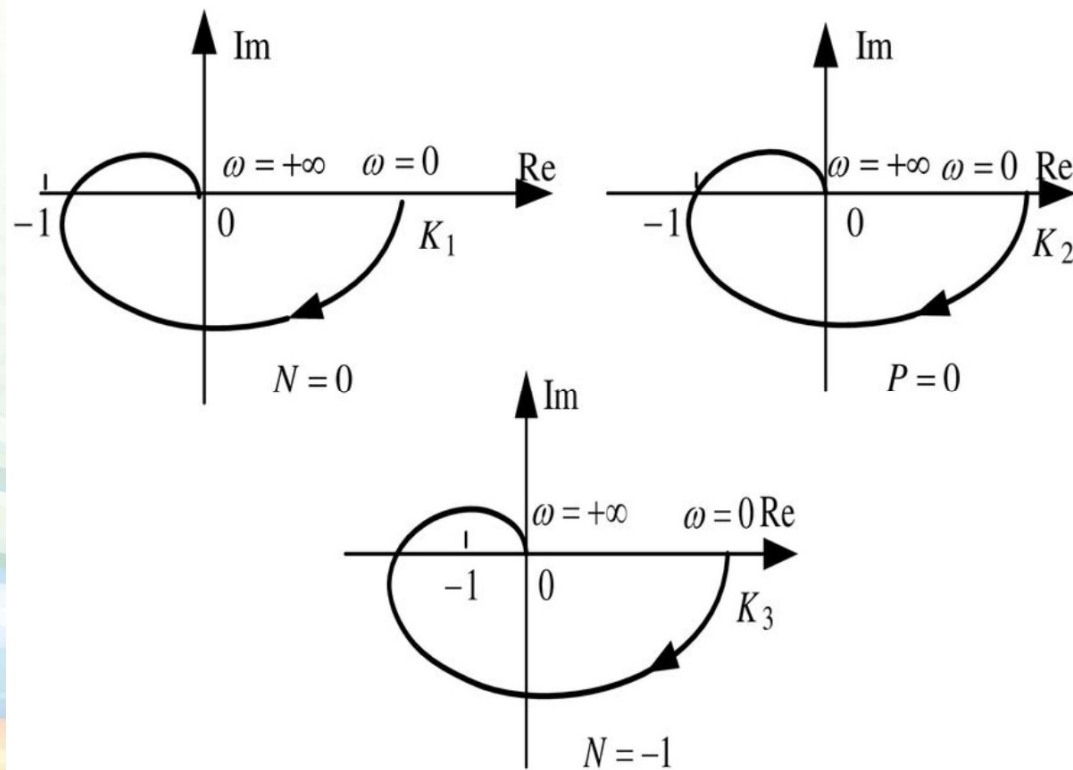
Some important properties of the Nyquist stability criterion

5. *Unlike the Bode stability criterion, the Nyquist stability criterion is applicable to open-loop unstable processes.*
6. *Unlike the Bode stability criterion, the Nyquist stability criterion can be applied when multiple values of ω_c or ω_g occur.*

Nyquist stability Criterion:

Let N be the number of times the Nyquist plot for $G_{OL}(s)$ encircles the $(-1,0)$ point in the clockwise direction. Also let P denotes the number positive poles of $G_{OL}(s)$. Then, $Z = N+P$ is the number of positive roots of the characteristic equation ($G_{OL}(s) + 1 = 0$). Thus, the closed-loop system is stable if and only if $Z = 0$

Nyquist stability criterion:

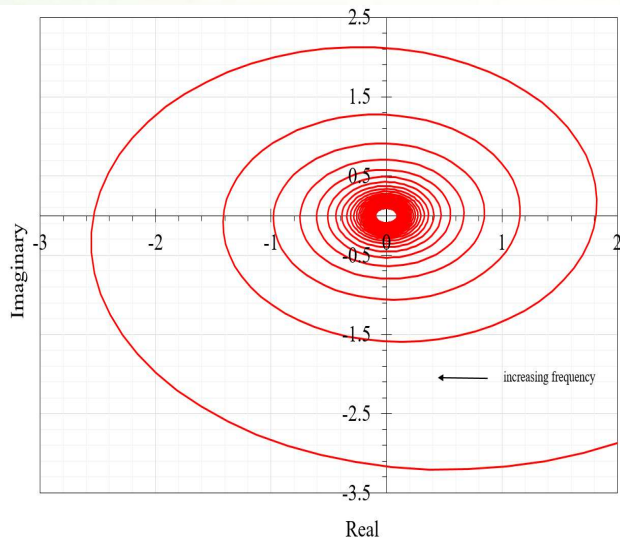


Example:

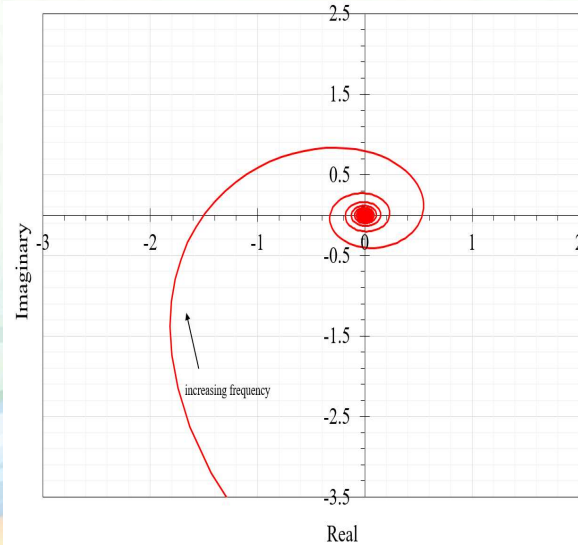
A process has $G_p = \frac{4e^{-s}}{5s+1}$, $G_v = 2$, $G_m = 0.25$, $G_c = K_c$. all signals in the closed-loop control system are electrical and time is in minute. Draw Nyquist plots for $K_c = 4, 6.38$, and 50 .

$$\begin{aligned} G_{OL} &= \frac{2K_c e^{-s}}{5s+1} \Rightarrow G_{OL}(\omega j) = \frac{2K_c e^{-\omega j}}{5\omega j+1} = \frac{2K_c(\cos\omega - j\sin\omega)}{5\omega j+1} \times \frac{1-5\omega j}{1-5\omega j} \\ &= \frac{2K_c}{25\omega^2+1} (\cos\omega - j\sin\omega)(1-5\omega j) \\ &= \frac{2K_c}{25\omega^2+1} [(\cos\omega - 5\omega\sin\omega) - (\sin\omega + 5\omega\cos\omega)j] \\ &= \frac{2K_c(\cos\omega - 5\omega\sin\omega)}{25\omega^2+1} - \frac{2K_c(\sin\omega + 5\omega\cos\omega)}{25\omega^2+1} j \end{aligned}$$

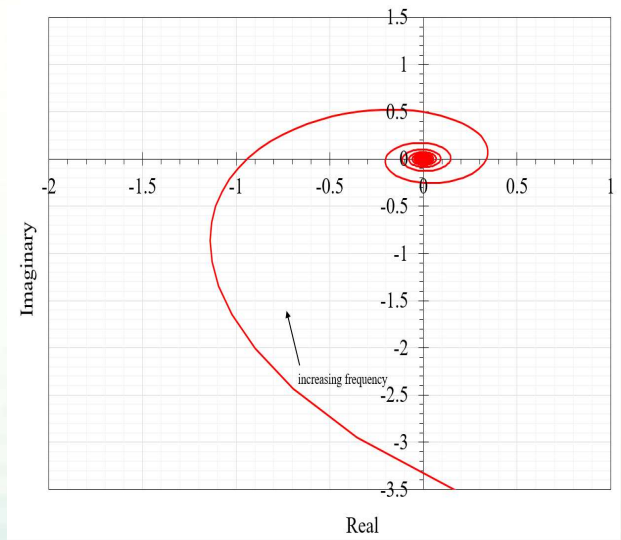
Now prepare a polar plot of $G_{OL}(\omega j)$ for every K_c in the direction of increasing ω value.



Nyquist plot for $K_c = 50$



Nyquist plot for $K_c = 6.38$



Nyquist plot for $K_c = 4$

Use the Nyquist stability criterion for a closed-loop system of the previous example.

$$G_{OL}(s) = \frac{2K_c e^{-s}}{5s + 1}$$

Poles of G_{OL} : $5s + 1 = 0 \rightarrow s = -\frac{1}{5}$ “one negative pole”

- *This means that there is no positive poles of $G_{OL} \rightarrow P = 0$*
- *See previous plots to count the number of times, \mathcal{N} , that Nyquist plot encircles the point (-1, 0):*
- *At $K_c = 6.38$: $\mathcal{N} = 1 \rightarrow Z = \mathcal{N} + P = 1 + 0 = 1 \rightarrow$ Not stable FR*
- *At $K_c = 50$: $\mathcal{N} = 3 \rightarrow Z = \mathcal{N} + P = 3 + 0 = 3 \rightarrow$ Not stable FR*
- *At $K_c = 4$: $\mathcal{N} = 0 \rightarrow Z = \mathcal{N} + P = 0 + 0 = 0 \rightarrow$ stable FR*
- *For $K_c < 4.25$: $Z = \mathcal{N} + P = 0 + 0 = 0 \rightarrow$ stable FR*